

A66 Northern Trans-Pennine Project

TR010062

1.3 Application Form

APFP Regulations 5(1)

Planning Act 2008

**Infrastructure Planning (Applications: Prescribed Forms and
Procedure) Regulations 2009**

Volume 1

June 2022

Infrastructure Planning

Planning Act 2008

**The Infrastructure Planning
(Applications: Prescribed
Forms and Procedure)
Regulations 2009**

A66 Northern Trans-Pennine Project
Development Consent Order 202x

1.3 Application Form

Regulation Number:	Regulation 5(1)
Planning Inspectorate Scheme Reference	TR010062
Application Document Reference	1.3
Author:	A66 Northern Trans-Pennine Project Team, National Highways

Version	Date	Status of Version
Rev 1	21 June 2022	DCO Application



Application for Development Consent for Nationally Significant Infrastructure Projects under the Planning Act 2008

* required information

Application Form

Please read guidance documents relating to the submission of applications prior to completing this form including [government guidance](#) and the Planning Inspectorate's [Advice Note Six: Preparation and submission of application documents](#).

* Do you have a reference number?

Yes No

* Reference number

1. Applicant

* Organisation

Address

* Building number or name

* Street

* City or town

County or administrative area

* Postcode

* Country

* Name of contact

* Telephone number

Fax number

* Email

2. Agent

* Are you an agent acting on behalf of the Applicant?

Yes No

3. Fee

* State the arrangement for the application fee payment:

BACS CHAPS Cheque

* Please provide payment reference details



Continued from previous page...

4. Confirming why the Planning Inspectorate should receive the application



* Brief statement to explain why this application is, or is to be treated as, a development for which development consent is required under the Planning Act 2008

The project lies wholly within England and involves the construction and alteration of a highway for which the applicant is the highway authority. It is an NSIP as defined within sections 14(1)(h), 22(1)(a) and 22(1)(b) of the PA 2008 as:

- It comprises the construction and alteration of a highway;
- The highway to be constructed and altered is wholly within England;
- National Highways is the strategic highway authority for the highway;
- The speed limit will be 50mph or more and;
- The area of development exceeds the 12.5 hectares threshold.

Further clarification is provided in paragraphs 2.7 to 2.11 of the Explanatory Memorandum (Application Document 5.3) confirming that the application comprises development falling within the categories in s14 of the PA2008.

5. Non-technical description of the Proposed Development



* Brief non-technical description of the Proposed Development

The project is a programme of works to improve the A66 between the M6 at Penrith and the A1 at Scotch Corner. The project will involve upgrading single carriageway sections of road to dual carriageway standard and making improvements to the junctions along the route. Parts of the project involve online widening of the carriageway and some are offline (in other words, new sections of road that follow a different route but reconnect into the main A66 alignment).

6. Location or route of the Proposed Development



* Description of location of application site(s), or route of development (reference to appropriate plans)

The project is located in the North of England. The A66, provides the route between the M6 at Penrith, Cumbria and the A1(M) at Scotch Corner, Richmond, North Yorkshire
The location of the project is illustrated on the Location Plan (Document Reference 5.12).

* Is the site a single site or a linear site?

- Single site Linear site

Start grid reference

* Easting

* Northing

Middle grid reference

* Easting

* Northing

End grid reference

* Easting

* Northing

* Document reference

Continued from previous page...

Add another reference

7. Associated Development



* Associated Development is included within this application:

Yes

No

* Document reference

5.3 Explanatory Memorandum

Add another reference

8. a) Consultation Report



* Document reference

4.4 Consultation Report

Add another reference

8. b) Copies of newspaper notices



* Document reference

4.4 Consultation Report (Annex M: S48 newspaper notices with locations and dates)

Add another reference

9. Draft Development Consent Order



* Document reference

5.1 Draft Development Consent Order

Add another reference

10. Explanatory Memorandum



* Document reference

5.3 Explanatory Memorandum

Add another reference

11. Land Plan



* Document reference

5.13 Land Plans

Add another reference

12. Works Plan



* Document reference

5.16 Works Plans

Add another reference

13. Compulsory Acquisition of land or an interest in land or right over land



Continued from previous page...

* Issues are relevant for this application:

Yes No

Statement of reasons document reference

Funding statement document reference

Book of Reference document reference

14. a) Environmental Impact Assessment (EIA)



* Environmental Statement (ES) required:

Yes No

* ES Document Reference

* ES Document Reference

* ES Document Reference

* ES Document Reference

14. b) Screening Opinion/ Direction and Scoping Opinion/ Direction



* Screening Opinion sought, or direction received:

Yes No

* Scoping Opinion sought:

Yes No

Continued from previous page...

Document reference

3.4 Environmental Statement Volume 3 (Appendices) (Appendix 4.1 EIA Scoping Report, and Appendix 4.2 EIA Scoping Opinion)

Add another reference

14. c) Publicity required under Regulation 13 of The Infrastructure Planning (Environmental Impact Assessment) Regulations 2017 (or where the transitional provisions apply, Regulation 11 of The Infrastructure Planning (Environmental Impact Assessment) Regulations 2009)



In addition to publishing the notice, a copy of the notice was sent to:

* the consultation bodies

* Yes

* the persons notified to the Applicant in accordance with Regulation 11(1)(c) of The Infrastructure Planning (Environmental Impact Assessment) Regulations 2017 (or where the transitional provisions apply, Regulation 9(1)(c) of The Infrastructure Planning (Environmental Impact Assessment) Regulations 2009):

Yes No, not applicable

15. European sites (to which Regulation 63 of The Conservation of Habitats and Species Regulations 2017 and/ or Regulation 28 of the Conservation of Offshore Marine Habitats and Species Regulations 2017 applies) or a Ramsar site.



* Report required for this application:

Yes No

* Document reference

3.5 Habitats Regulations Assessment Stage 1: Likely Significant Effects Report

* Document reference

3.6 Habitats Regulations Assessment Stage 2: Statement to Inform Appropriate Assessment

Remove this reference

Add another reference

16. A plan, with accompanying information, identifying any statutory or non statutory sites or features of nature conservation, geological or landscape importance; habitats of protected species, important habitats or other diversity features; and water bodies in a River Basin Management Plan - together with an assessment of any effects likely to be caused by the Proposed Development.



* Issues are relevant for this application:

Yes No

* Document reference

3.3 Environmental Statement Volume 2 (Figures) Biodiversity 6.1 to 6.18

Continued from previous page...

* Document reference

3.3 Environmental Statement Volume 2
(Figures) Geology and Soils 9.1 to 9.6

Remove this reference

* Document reference

3.3 Environmental Statement Volume 2
(Figures) Landscape and Visual 10.1,
10.2, 10.5 to 10.7

Remove this reference

* Document reference

3.3 Environmental Statement Volume 2
(Figures) Road Drainage and Water
Environment 14.1, 14.3, 14.4

Remove this reference

* Document reference

3.2 Environmental Statement Volume 1
(Main Report) Chapter 6 Biodiversity

Remove this reference

* Document reference

3.2 Environmental Statement Volume 1
(Main Report) Chapter 9 Geology and
Soils

Remove this reference

* Document reference

3.2 Environmental Statement Volume 1
(Main Report) Chapter 10 Landscape and
Visual

Remove this reference

* Document reference

3.2 Environmental Statement Volume 1
(Main Report) Chapter 14 Road Drainage
and Water Environment

Remove this reference

Add another reference

17. A plan, with accompanying information, identifying any statutory or non statutory sites or features of the historic environment such as scheduled monuments, World Heritage sites, listed buildings and other historic structures, archaeological sites and registered battlefields, together with an assessment of any effects likely to be caused by the Proposed Development



* Issues are relevant for this application:

Yes

No

Continued from previous page...

* Document reference

* Document reference

18. Flood Risk Assessment



* Flood Risk Assessment required:

Yes No

* Document reference

19. Matters set out in section 79(1) (statutory nuisances etc) of the Environmental Protection Act 1990



* Statement required for this application:

Yes No

* Document reference

20. A plan with any accompanying information identifying any Crown land



* Issues are relevant for this application:

Yes No

* Document reference

21. A plan identifying new or altered means of access, stopping up of streets or any diversions, extinguishments or creation or rights of way or public rights of navigation



* Issues are relevant for this application:

Yes No

* Document reference

Continued from previous page...

* Document reference

2.4 Walking, Cycling and Horse Riding Proposals

Remove this reference

Add another reference

22. Additional information for specific types of infrastructure



* Additional information is required to be submitted in accordance with Regulation 6 of The Infrastructure Planning (Applications: Prescribed Forms and Procedure) Regulations 2009:

Yes No

* Provide a brief description

As the project is highway related development, the application provides section drawings in accordance with Regulation 6(2) of the APFP Regulations. This information is provided in the General Arrangement Drawings (Document Reference 2.5), Engineering Section Drawings: Plan and Profiles (Document Reference 5.17); and the Engineering Section Drawings: Cross-sections (Document Reference 5.18).

* Document reference

2.5 General Arrangement Drawings

* Document reference

5.17 Engineering Section Drawings: Plan and Profiles

Remove this reference

* Document reference

5.18 Engineering Section Drawings: Cross-sections

Remove this reference

Add another reference

Regulation 6 of the Infrastructure Planning (Applications: Prescribed Forms and Procedures) Regulations 2009 requires additional information to be submitted with the following types of development.

* Please check any of the following boxes that apply:

- Construction or extension of a non offshore generating station (Reg. 6 (1a))
- Construction or extension of an offshore generating station (Reg. 6 (1b))
- Highway related development (Reg. 6 (2) (part 1))
- Construction or alteration of a railway (Reg. 6 (2) (part 2))
- Construction or alteration of harbour facilities (Reg. 6 (3))
- Construction of a pipeline (Reg. 6 (4))
- Construction or alteration of a hazardous waste facility (Reg. 6 (5))
- Construction of a dam or reservoir (Reg. 6 (6))

Highway related development (Reg. 6 (2) (part 1))

Continued from previous page...

Ensure section drawings have been included as part of this application, with suitable horizontal and vertical scales, which show the levels, proposed works including in particular and where relevant by reference to Ordnance Survey or Chart datum

* Document reference

* Document reference

* Document reference

Provide the levels of the proposed works, in particular and where relevant:

* Ground levels

* The height of every proposed bridge, viaduct, aqueduct, embankment and elevated guide way

* The depth of every proposed cutting and tunnel

* The levels of the bed of any tidal waters or inland waterway in which it is proposed that any works should be situated

* The height of every structure or device intended to be erected above, on or below the surface of, or on or beneath the bed of tidal waters or inland waterway

* Drainage outfall details for highways

Continued from previous page...

General Arrangement Drawings (Document Reference 2.5), Engineering Section Drawings: Plan and Profiles (Document Reference 5.17); and the Engineering Section Drawings: Cross-sections (Document Reference 5.18).

Provide a cross section of every intended tunnel and any altered gradient of a carriageway or a way forming part of a guided transport system on either side of every level crossing, bridge tunnel or underpass which would carry the carriageway or way or through which it would pass

* Document reference

Engineering Section Drawings: Cross-sections (Document Reference 5.18).

Add another reference

23. Any other plans, drawings and sections necessary to describe the proposal for which development consent is sought, and any other documents, reports or information to support the application



Provide a brief description of any other plans, drawings and sections that are being submitted with this application

In accordance with Regulation 6(2)(a) and (b) additional information is included in the application with document references set out below.

Document reference

5.20 Classification of Roads Plans

Document reference

5.21 De-Trunking Plans

Remove this reference

Document reference

5.22 Traffic Regulation Measures (Clearways and Prohibitions) Plans

Remove this reference

Document reference

5.23 Traffic Regulation Measures (Speed Limits) Plans

Remove this reference

Document reference

5.24 Tree Preservation Order Trees and Important Hedgerows Location Plans

Remove this reference

Document reference

2.8 Environmental Mitigation Maps

Remove this reference

Add another reference

Provide a brief description of any other documents considered necessary to support the application

Continued from previous page...

Cover Letter (Document Reference 1.1)
Section 55 Checklist (Document Reference 1.2)
Guide to the Application (Document Reference 1.4)
Application Glossary (Document Reference 1.5)
Electronic Index (Document Reference 1.6)
Case for the project (Document Reference 2.2)
Project Design Report (Document Reference 2.3)
Mitigation Schedule (Document Reference 2.9)
Transport Assessment (Document Reference 3.7)
Combined Modeling and Appraisal Report (Document Reference 3.8)
Legislation and Policy Compliance Statement (Document Reference 3.9)
Project Development Overview Report (Document Reference 4.1)
Statement of Commonality and Statement of Common Ground (Document Reference 4.5)
Compulsory Acquisition and Temporary Possession Schedule (Document Reference 5.9)
Schedule of Negotiations (Document Reference 5.10)
Project Design Principles (Document Reference 5.11)
Special Category Land Plans (Document Reference 5.12)

Document reference

Document reference

Document reference

Document reference

Document reference

Document reference

Document reference

Document reference

Continued from previous page...

Document reference

3.7 Transport Assessment

Remove this reference

Document reference

3.8 Combined Modeling and Appraisal Report

Remove this reference

Document reference

3.9 Legislation and Policy Compliance Statement

Remove this reference

Document reference

4.1 Project Development Overview Report

Remove this reference

Document reference

4.5 Statement of Commonality and Statement of Common Ground

Remove this reference

Document reference

5.9 Compulsory Acquisition and Temporary Possession Schedule (CATPS)

Remove this reference

Document reference

5.10 Schedule of Negotiations

Remove this reference

Document reference

5.11 Project Design Principles

Remove this reference

Document reference

5.12 Special Category Land Plans

Remove this reference

Add another reference

Provide a brief description of any other information provided that is in support of the application, but which has not been explicitly required

Equalities Impact Assessment (Document Reference 3.10)

Document reference

3.10 Equalities Impact Assessment

Continued from previous page...

Add another reference

24. Other consents/ licences required under other legislation



* Other consents/ licences are required:

Yes No

* Provide a list of consents/licences

See the Consents and Agreements Position Statement (Document Reference 5.4)

You must cite the document references as appropriate

* Document reference

Add another reference

25. Declaration



I declare to the best of my knowledge that the information given in this form and enclosed maps, plans and other documents are true.

Please note there is a requirement for one hard copy of the form to be signed. Please ensure that when you submit you include at least one signed copy. Further copies do not require the hand written signature.

Signature

(For and on behalf of the Applicant)

* Name

(In block letters)

* Date / /
dd mm yyyy

* Organisation

* Position within the organisation